

Table-18
Permissible²⁶ and Non-permissible Expenditures
for Centrally Funded, Government Funded and Government Aided Institution Participating in Sub-
component 1.1 : Strengthening Institutions to Improve Learning Outcomes and Employability of Graduates

Activity	Government Funded and Aided Institutions	
	Permitted	Not Permitted
1. Procurement of goods (equipment, furniture, books & learning resources, software and minor items) and civil works for improvement in teaching, training and learning facilities	<ul style="list-style-type: none"> • Equipment and furniture²⁷ for: <ul style="list-style-type: none"> ○ modernizing and strengthening of existing UG and PG laboratories, workshops, computer centre, library and support facilities ○ modernization of laboratories in supporting departments ○ modernizing classrooms ○ establishing new UG and PG laboratories, if any, required for the existing programmes ○ establishing laboratories for starting new PG programmes in engineering. disciplines provided that the new programme are started latest by the end of calendar year 2012 ○ faculty research and institutional consultancy work ○ campus-wide networking of academic and administrative buildings, hostels and faculty residences, and enhancing internet facilities, books & learning resources ○ Course specific software for new PG programs ○ procurement of equipment like computer, printer, etc for Institutional TEQIP Unit 	<ul style="list-style-type: none"> • Equipment and furniture for: <ul style="list-style-type: none"> ○ starting new UG programmes, and ○ improving hostel facilities other than electronic networking • Purchase of vehicles
	<ul style="list-style-type: none"> • Modernization and strengthening of libraries: <ul style="list-style-type: none"> ○ Procurement of learning resources (print / digital books and journals) ○ Digitization of library books. ○ Establishment of CD bank. ○ Membership of INDEST-AICTE etc. 	
	<ul style="list-style-type: none"> • Civil works²⁸ upto about 5% of project allocation for the institution for: <ul style="list-style-type: none"> ○ Refurbishment, repair works, extension of existing academic buildings such as classrooms, laboratories, workshops, computer centre and library ○ Reducing environment degradation and complying with EMF (see Civil Works Manual 	<ul style="list-style-type: none"> • Civil works undertaken for betterment of hostels, staff quarters and non-academic structures
2. Providing Teaching and Research Assistantships to increase enrolment in existing and new PG ²⁹ programmes in Engineering disciplines	<ul style="list-style-type: none"> • Teaching Assistantships³⁰ for Masters students in Engineering discipline that do not receive GATE scholarships • Research Assistantships to Doctoral students in Engineering disciplines that do not receive any scholarships through NDF / other schemes. • Foreign fellowships not exceeding 3 months duration for Doctoral students in Engineering disciplines subject to due approvals on case-to-case basis 	

²⁶ Expenditure is permissible only for the AICTE approved UG and PG teaching programmes, provided that Goods, Civil Works and Consultancy Services (including Pedagogical Training) are procured in accordance with the procurement methods and procedures given in the Procurement Manual and are not declared ineligible expenditure by the external Financial Auditors.

²⁷ Expenditure for procurement of any equipment and furniture will not be admissible if procured with co-financing from any other source.

²⁸ Expenditure for any Civil Work activity will not be admissible if undertaken with co-financing from any other source.

²⁹ The term PG covers both Masters and Doctoral programmes.

³⁰ The amount of Teaching and Research Assistantships will be governed by the norms as prescribed by the UGC/AICTE or the State Governments or by the respective Board of Governors.

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<p>3. Enhancement of Research and Development and Institutional Consultancy Activities</p>	<ul style="list-style-type: none"> • Securing sponsored projects and consultancy assignments • Publication of research papers in refereed journals • Commercialization of research products • Patenting of research products • Travel cost, hospitality and honorarium paid to experts for participation in Research & Development activities and for delivering expert lectures in the project institutions • Organizing conferences on R&D topics/themes 	<ul style="list-style-type: none"> • Fiscal incentives for increased participation in research, sponsored projects and consultancy work (<i>the incentives can, however, be given from Institutional resources including IRG</i>) • All expenditure including travel and meetings associated with implementation of sponsored projects and consultancy assignments³¹
<p>4. (a) Faculty development for improved competence on subject area</p>	<p>(j) Qualification upgradation:</p> <ul style="list-style-type: none"> • Fees charged for course work and use of research facilities; and consumables by the Institution (other than the parent Institution) where faculty is enrolled for qualification upgradation either through full-time or part-time or by sandwich joint arrangement • Expenses towards thesis printing and publication of thesis-based research papers • Consumables if faculty is registered for qualification upgradation on full-time or part-time basis within the parent Institution <p>(ii) Subject Knowledge and Research Competence upgradation:</p> <ul style="list-style-type: none"> • Undertaking trainings in subject knowledge and research competence upgradation by faculty from Engineering disciplines and supporting departments as planned through TNA: <ul style="list-style-type: none"> ○ Course fee; travel expenses, boarding and lodging, and sundry expenses/allowances as per applicable norms and rules when faculty is deputed out-station to another Institution (within India or abroad) for the duration of the Course, travel time and the time permitted by the BoG for visits to Institutions/Organizations of interest and relevance to the faculty in the vicinity of the location of training ○ Course fee and local travel expenses as per applicable norms and rules when faculty attends a Course in-station but at an Institution other than the parent Institution 	<ul style="list-style-type: none"> • Salary, living expenses and travel expenses of faculty registered for qualification upgradation (on full or part time or by sandwich joint arrangement) either within the parent Institution or through deputation to another Institution • Any other payment to the faculty for attending the Course

³¹ The expenditures are to be met from the budget of sponsored projects and consultancy assignments.

	<ul style="list-style-type: none"> • Participation by faculty in seminars, conferences, workshops and in Continuing Education Programmes (CEPs)³² etc.: <ul style="list-style-type: none"> ○ Registration fee; travel expenses; boarding, lodging, and sundry expenses/allowances as per applicable norms and rules when faculty is deputed outstation to another Institution (within India or abroad) for the duration of the seminar, conference or workshop, travel time and the time permitted by the BoG for visits to Institutions/Organizations of interest and relevance to the faculty in the vicinity of the location of seminar, workshop or conference ○ Registration fee; and local travel expenses as per applicable norms and rules when participation is within-station but at an Institution other than the employer Institution. 	<ul style="list-style-type: none"> • Any other payment to the faculty for attending seminars, workshops, conferences, etc. if organized within the parent Institution or at another Institution but within-station
	<ul style="list-style-type: none"> • Organizing in-house subject area training programmes, workshops, seminars, conferences and Continuing Education Programme (CEP): <ul style="list-style-type: none"> ○ Hospitality to participants ○ Venue and logistic arrangements ○ Replication of printed training materials. ○ Publication of proceedings ○ Travel, boarding and lodging for invited experts and honorarium for delivering/organizing expert lectures, CEPs etc. 	<ul style="list-style-type: none"> • Organizing outstation training programmes • TA&DA to participants
4 (b) Staff development for improved competence in skills	<ul style="list-style-type: none"> • Training of senior non-teaching staff, administrative and finance officers, etc. (not below the rank of a Lecturer): <ul style="list-style-type: none"> ○ Course fee; travel expenses; boarding, lodging, and sundry expenses/allowances as per applicable norms and rules when the staff is deputed outstation to another Institution within India and travel time ○ Course fee and local travel expenses as per applicable norms and rules when training is attended in-station but at an institution / organization other than the parent institution 	<ul style="list-style-type: none"> • Any other payment to the staff for attending the training programme.
	<ul style="list-style-type: none"> • Training of technical support staff: <ul style="list-style-type: none"> ○ Course fee; travel expenses; boarding, lodging, and sundry expenses/allowances as per applicable norms and rules when the technical support staff is deputed outstation to another Institution/ Organization within India and travel time ○ Course fee and local travel expenses as per applicable norms and rules when training is attended in-station but at an Institution other than the parent Institution 	<ul style="list-style-type: none"> • Any other payment to the staff for attending the training programme.
	<ul style="list-style-type: none"> • Training of administrative and general support staff³³ in functional areas: <ul style="list-style-type: none"> ○ Course fee; travel expenses; boarding, lodging, and sundry expenses/allowances as 	<ul style="list-style-type: none"> • Any other payment to the staff for attending the training programme

³² CEP are to be targeted for working professionals only. The duration of the programme must be atleast 1 week (5 working days). The participants preferably should have representations from industries, faculty from other AICTE recognized Engineering institutions and few faculty of host institution. The CEP be conducted preferably in cutting edge technologies.

³³ Such training should mostly be organized within the Project Institution.

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Section-7

Permissible and Non-Permissible Expenditure

	<p>per applicable norms and rules when the technical support staff is deputed outstation to another Institution/ Organization within India and travel time</p> <ul style="list-style-type: none"> ○ Course fee and local travel expenses as per applicable norms and rules when training is attended in-station but at an Institution other than the parent Institution 	
5. Enhanced interaction with Industry	<ul style="list-style-type: none"> • Travel cost, hospitality and honorarium to industry personnel for participation in curriculum development/revision/restructuring, student assessment and Institutional bodies, and for <u>delivering expert lectures</u> • Expenditure for increasing I-I-I through PSAG • Expenditure towards inviting industry (excluding travel cost and lodging boarding) for campus interviews and hospitality during campus interviews • Arranging tutoring by industry experts to prepare students for <u>on- and off-campus job interviews</u> 	<ul style="list-style-type: none"> • Honorarium to faculty Member In-charge of I-I-I activity (It can be paid from the Institutions IRG)
6. Institutional Management Capacity Enhancement	<ul style="list-style-type: none"> • Management development training of Institution's senior officials and senior faculty: <ul style="list-style-type: none"> ○ Course fee; travel expenses, boarding and lodging, and sundry expenses /allowances as per applicable norms and rules when deputed out-station to another Institution (within India or abroad) for the duration of the Course, travel time and the time permitted by the BoG for visits to Institutions/Organizations of interest and relevance to the faculty in the vicinity of the location of training ○ Study Tours; travel expenses, boarding and lodging, and sundry expenses / allowances as per applicable norms and rules when deputed for study tour within India or abroad for the duration of the tour and travel time ○ Trainer's fee and overheads; and sundry expenditure if training programmes organized within the parent Institution • Orientation of BoG Members: Travel costs, boarding and lodging expenditure and sitting fee to Board Members; sundry expenses in organizing Orientation Programme 	<ul style="list-style-type: none"> • Any other payment to the officials and senior faculty for attending the Course
7. Implementation of Institutional Academic Reforms	<ul style="list-style-type: none"> • Curricular Reforms: <ul style="list-style-type: none"> ○ Travel cost, hospitality and honorarium paid to industry personnel for participation in curriculum development/revision/restructuring and curricular reforms ○ Sundry expenditure on holding meetings of the concerned Committees 	
	<ul style="list-style-type: none"> • Accreditation: <ul style="list-style-type: none"> ○ Accreditation fee to NBA • Autonomy: <ul style="list-style-type: none"> ○ Payment to UGC or University for obtaining Autonomous Institution Status from the UGC 	<ul style="list-style-type: none"> • Any payment in cash of kind or expenses related to the hosting of Accreditation Committee or any other visiting committee.
8. Academic Support for Weak Students	<ul style="list-style-type: none"> • Honorarium to faculty and staff for taking bridge Courses, remedial teaching classes and skill development training • Honorarium, TA and DA to outside experts for specialized training in soft components including communication - presentation skills. 	<ul style="list-style-type: none"> • Cost towards the boarding and lodging of students for attending the Finishing School

	<p>As per norms decided by BoG</p> <ul style="list-style-type: none"> Honorarium, TA and DA to outside experts for specialized training in Finishing School Consultancy services procured for technical assistance related to knowledge/skill enhancement for weak students and establishing a Finishing School 	
9. Incremental Operating Cost	<ul style="list-style-type: none"> Organizing BoG and other Committee Meetings TA & DA for faculty and staff attending workshops and meetings organized by the NPIU and the SPFUs TA & DA for faculty and staff attending training in the World Bank procedures as arranged by the NPIU and the SPFUs Student training materials and other consumables Occasional hiring of vehicles for project related works 	<ul style="list-style-type: none"> Any other payment to the faculty for attending seminars, workshops, conferences, etc. if organized within the parent Institution or at another Institution but within-station
	<ul style="list-style-type: none"> Maintenance of furniture and equipment including computers, and other assets acquired under this Project: <ul style="list-style-type: none"> Maintenance of furniture Maintenance of equipment including computers and related devices through Annual Maintenance Contracts 	<ul style="list-style-type: none"> Maintenance of buildings (<i>this should be carried out through Institution's own budget</i>)
	<ul style="list-style-type: none"> Hiring technical services for: <ul style="list-style-type: none"> mentoring external financial audit for TEQIP funds 	
	<ul style="list-style-type: none"> Salaries: <ul style="list-style-type: none"> Salaries of additional full-time regular and contract faculty including adjunct faculty and staff appointed against posts created under the project subject to due approval from the competent authority of the institution / State 	<ul style="list-style-type: none"> Salaries to contract faculty and staff appointed against existing vacancies Salaries of Adjunct faculty appointed against existing vacancies (<i>These are to be borne by the Institution</i>)

- Note: (1) Procurement of consultant services, if required, for the activities listed from Sr. No. 2-8 are permitted. The expenditure on procurement of consultant services is to be booked against the head of expenditure of that particular activity. The services of consultants are to be procured by following the World Bank norms and procedures through the PMSS.
- (2) In-house Pedagogical training for faculty under faculty development will be arranged by SPFU/NPIU with separate funding under Sub-component 1.3.